

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Attorney Docket No.	GP-303673/GP-303674 (2760/114)
Application Number	10/654,301
Filing Date	SEPTEMBER 3, 2003
First Named Inventor	STEVEN J. ROSS
Group Art Unit	
Examiner	

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Amendment	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Drawings:	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input checked="" type="checkbox"/> Post Card Receipt
<input type="checkbox"/> Extension of Time Request (duplic)	<input type="checkbox"/> To Convert a Provisional Application	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Combined Declaration and Power of Attorney	<input checked="" type="checkbox"/> Statement of Assignee GENERAL MOTORS CORPORATION
<input type="checkbox"/> Information Disclosure Statement, PTO-1449, art	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Statement of Inventor for Steven J. Ross, Stephen C. Habermas and Christopher L. Oesterling
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> Motion to Correct Inventorship Pursuant to 37 C.F.R. 1.48	<input type="checkbox"/>
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b)	
	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 07-0960 (GENERAL MOTORS CORPORATION). A duplicate copy of this sheet is enclosed.	
	<input checked="" type="checkbox"/> I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 07-0960 (GENERAL MOTORS CORPORATION). A duplicate copy of this sheet is enclosed.	

## CALCULATION OF FEE

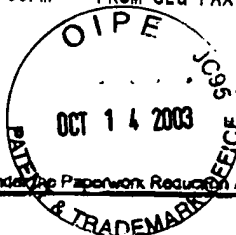
	Claims After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=	0		x \$18=	
Indep.		Minus			x \$43=	0		x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=	---		+ \$290=	
					total add'l fee	\$ 0		total add'l fee	\$ 0

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FRANK C. NICHOLAS Registration No. 33,983 Cardinal Law Group 1603 Orrington Avenue, Suite 2000 Evanston, IL 60201		
Signature		Date:	OCTOBER 8, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents P.O. Box 1450, Alexandria, VA 22202-1450 on this date:		OCTOBER 8, 2003
Signature	 FRANK C. NICHOLAS (33,983)	Date: OCTOBER 8, 2003



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner Steven J. Ross, Stephen C. Habermas

Application No./Patent No.: 10/654,301 Filed/Issue Date: September 3, 2003

Entitled: METHOD AND SYSTEM FOR IMPLEMENTING VEHICLE PERSONALIZATION

GENERAL MOTORS CORPORATION a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either.

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

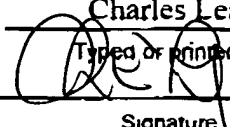
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

10/3/03  
Date  
313-665-4707  
Telephone number

Charles Leahy  
Typed or printed name  
  
Signature  
Attorney  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 8, 2003

Date of Deposit

FRANK C. NICHOLAS (33,983)

Name of Applicant, Assignee or

Registered Representative

Signature

October 8, 2003

Date of Signature



PATENT

Case No.: GP-303673 GP-303674

(2760/114)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

STEVEN J. ROSS, STEPHEN C. HABERMAS

Serial No. 10/654,301

Filing Date: SEPTEMBER 3, 2003

For METHOD AND SYSTEM FOR IMPLEMENTING  
VEHICLE PERSONALIZATION

)  
)  
)  
) Examiner  
)  
)  
)  
) Group Art Unit No.  
)  
)

**Motion to Correct Inventorship Pursuant to 37 C.F.R. 1.48**

Dear Sir:

Applicants move to correct inventorship of this patent due to the inadvertent omission of an inventor, Christopher L. Oesterling. The statement of Mr. Oesterling, each of the previously identified inventors is attached, and the assignee.

Pursuant to this motion, Applicants request to amend the patent application to reflect that Christopher L. Oesterling is an inventor.

Dated: October 8, 2003

Respectfully submitted,

CARDINAL LAW GROUP  
1603 Orrington Avenue, Suite 2000  
Evanston, Illinois 60201  
Phone 847.905.7111  
Facsimile 847.905.7113

Signature  
FRANK C. NICHOLAS  
Attorney of Record  
Registration No. 33,983

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX DAC, Assistant Commissioner for Patents, Washington, D C 20231 on October 8, 2003

FRANK C. NICHOLAS (33 983)

  
\_\_\_\_\_  
Attorney SignatureOctober 8, 2003

Date of Signature



PATENT  
Case No. GP-303673 GP-303674  
(2760/114)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

STEVEN J. ROSS

STEPHEN C. HABERMAS

Serial No.: 10/654,301

Patent No.:

Filed: SEPTEMBER 3, 2003

Title: METHOD AND SYSTEM FOR  
IMPLEMENTING VEHICLE  
PERSONALIZATION

Examiner:

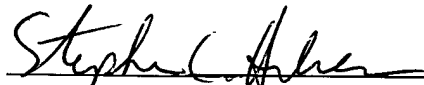
Group Art Unit:

**Statement of Inventor STEPHEN C. HABERMAS**

Dear Sir:

Christopher L. Oesterling's name was unintentionally omitted from Application No. 10/654,301.

I agree to have Christopher L. Oesterling added to the inventors for this patent.

  
\_\_\_\_\_  
STEPHEN C. HABERMAS10/2/03

Date

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX DAC, Assistant Commissioner for Patents, Washington, D.C. 20231 on October 8, 2003

FRANK C. NICHOLAS (33 983)

*Frank C. Nicholas*  
Attorney Signature

October 8, 2003

Date of Signature



PATENT  
Case No. GP-303673 GP-303674  
(2760/114)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

STEVEN J. ROSS

STEPHEN C. HABERMAS

Serial No.: 10/654,301

Patent No.:

Filed: SEPTEMBER 3, 2003

Title: METHOD AND SYSTEM FOR  
IMPLEMENTING VEHICLE  
PERSONALIZATION

Examiner:

Group Art Unit:

Statement of Inventor CHRISTOPHER L. OESTERLING

Dear Sir,

My name was unintentionally omitted from Application No. 10/654,301. The inventorship error of failing to include CHRISTOPHER L. OESTERLING as an inventor of the patent occurred without any deceptive intention on the part of CHRISTOPHER L. OESTERLING.

I, Christopher L. Oesterling, request to be added as an inventor for Application No. 10/654,301. The original error in inventorship occurred without any deceptive intention on my part.

*Chris L. Oesterling*

CHRISTOPHER L. OESTERLING

10/02/03

Date